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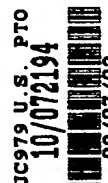
1072194 U.S. PTO

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Docket No.: NEC GNE471Date: February 7, 2002

**BOX PATENT APPLICATION**  
ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231



1072194 U.S. PTO

Dear Sir:

Transmitted herewith for filing is the patent application of:

Inventor: OGAWAFor: TRACK-OFFSET COMPENSATION IN OPTICAL DISK DRIVE

Enclosed are the following:

- ☐ Letter: SUBMISSION OF INCOMPLETE APPLICATION  
☒ Specification 39 pages; Claims 8 pages; Abstract 1 page  
☒ Declaration and Power of Attorney  
☒ Sheet(s) of drawings 11 pages  
☒ An assignment of the invention to: NEC Corporation  
☒ A certified copy of Japanese application No. 2001-030526,  
 filed February 7, 2001  
☒ Information Disclosure Statement  
☒ Preliminary Amendment

☐ Applicant claims small entity status. See 37 CFR 1.27.

Priority is hereby claimed under 35 USC 119 by way of Japanese patent application  
 No. 2001-030526 filed February 7, 2001

The filing fee has been calculated as shown below:

		SMALL ENTITY	LARGE ENTITY
BASIC FEE:		\$370.00	\$740.00
TOTAL CLAIMS:	25 - 20 =	x 9 =	5 x 18 = \$ 90.00
INDEPENDENT CLAIMS:	4 - 3 =	x 42 =	1 x 84 = \$ 84.00
MULT. DEPEND. CLAIMS:		+ 135 =	+ 270 =
TOTAL:		\$	\$ 914.00

☒ Form PTO-2038 authorizing credit card payment in the amount of \$ 954.00 to cover the fees is enclosed.

☒ (\$40.00 Assignment recordal fee is included)

The Commissioner is hereby authorized to charge any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. 08-1391.

Norman P. Soloway  
 Attorney of Record  
 Reg. No. 24,315

**CERTIFICATE OF EXPRESS MAILING**

"Express Mail" Mailing Label No. ET956859214US Date of Deposit: February 7, 2002

I hereby certify that this paper and the papers listed thereon are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above, and is addressed to BOX PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of person mailing: Sharon McKniffName of person mailing: Sharon McKniff